
State of California

Department of Technology

Office of Information Security

Supported Program Agreement

Statewide Information Management Manual (SIMM) – 5330-G

July 2024

Title	SIMM 5330-G Supported Program Agreement
Owner	Office of Information Security
Publish Date	December 2023
Last Review Date	July 2024

Purpose

This document serves as an agreement between the Supported and Supporting entities to jointly assume responsibility for particular staff roles and/or organizational functions and to disclose the relationship to the California Department of Technology (CDT) for security compliance and risk management.

Scope

This document is designated for the use of entities who have fulfilled the requirements outlined in Statewide Information Management Manual (SIMM) 5330-A Attachment D: Entity Partnership Type OPTION 4 – Supported Roles and Functions.

Compliance

Government Code (GC) Section 11549.3 empowers the Office of Information Security (OIS) to create, issue, and maintain policies, standards, and procedures; oversee information security risk management for agencies and state entities; provide information security and privacy guidance; and ensure compliance with State Administrative Manual (SAM) Chapter 5300 and Statewide Information Management Manual (SIMM) section 5300.

Agency/state entities must adhere to OIS-issued information security and privacy policies and all relevant laws, regulations, rules, and standards governing their agency/state entity. Full compliance is expected.

Instructions:

Entity heads of both the supporting entity and the supported entity must submit this completed and signed agreement.

Please note that a separate 5330-G must be submitted for each supporting entity that has a many-to-one relationship and provides supporting roles and functions to multiple entities. Similarly, a separate 5330-G must also be submitted for each supporting entity that has a one-to-many relationship and receives support from multiple entities.

SUPPORTING ENTITY NAME	ORG CODE	SUPPORTED ENTITY NAME	ORG CODE

Roles & Functions Supported (check all that apply)	Supported	Compliance and Certification Supported (check all that apply)	Supported
CIO Designation		Technology Recovery Program Compliance Certification (SIMM 5325-B)	
ISO Designation		Designation Letter (SIMM 5330-A)	
Technology Recovery Coordinator		Information Security & Privacy Program Compliance Certification (SIMM 5330-B)	
Privacy Program Coordinator		Cal-CSIRS Information Security Incident Report (SIMM 5340-B)	
Technology Recovery Management			
Incident Management			
Privacy Program Management			
Information Security & Risk Management			
If partial support is provided, please clearly define the functions supported:			

Supported Entity

I, the undersigned, hereby certify that I am the Secretary/Director (or equivalent head of the state entity) of the submitting state entity and am receiving support from the above-listed state entity.

I acknowledge that I have agreed to the above-listed functions, compliance, and certification to be supported by the above-listed state entity. Additionally, I understand that I must communicate with the entity providing support to ensure that we continue to have a full understanding of the current status of the information security and risk management strategy in place to protect our information and information systems and to allow us to make informed judgments and decisions to avoid the security and privacy risk for our state entity.

Printed Name of Entity
Head

Signature of Entity Head

Date

Supporting Entity

I, the undersigned, hereby certify that I am the Secretary/Director (or equivalent head of the state entity) of the submitting state entity and am providing support to the above-listed state entity.

I acknowledge that I have agreed to the above-listed functions, compliance, and certification to provide support to the above-listed state entity. Additionally, I understand that I must communicate with the entity receiving support to ensure that we continue to have a full understanding of the current status of the information security and risk management strategy in place to protect our information and information systems and to allow us to make informed judgments and decisions to avoid the security and privacy risk for our state entity.

Printed Name of Entity
Head

Signature of Entity Head

Date

REVISION HISTORY

REVISION	DATE OF RELEASE	OWNER	SUMMARY OF CHANGES
Initial Release	December 2023	Office of Information Security (OIS)	Previously 5330-A Part D updated and SIMM 5330 G is created for shared responsibilities agreement.
Minor Update	July 2024	OIS	Formatting and template update. Verbiage clarification